

Docket No.: 3099P017

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#19 BA4/28/04

In Re the Application of:

LAYNE BRITTON

Application No.: 09/412,993

Filed: October 5, 1999

For: PROVIDING AUDIENCE FLOW IN A

PERSONAL TELEVISION DEVICE

Art Group: 2712

Examiner: Not yet assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

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SFP 2 0 2002

Sir:

**Technology Center 2600** 

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: September 12, 2002

Kerry D. Tweet, Reg. No. 45,959

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

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*September 12, 2002* 

09-12-0

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-2- 3099P017

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO **Sheet** 

INFORMATION DISCLOSURE TATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of 1

Complete if Known							
Application Number	09/412,993						
Filing Date	October 5, 1999						
First Named Inventor	Layne Britton						
Group Art Unit	2712						
Examiner Name	Not yet assigned						
Attorney Docket Number	3099P017						

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.¹			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	1	4,682,248		Schwartz	07-21-1987				
	2	4,972,396		Rafner	11-20-1990				
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						Technology Center 260			

FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	т.		
	No.1	Office <sup>1</sup>	Number*	Kind Code <sup>s</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Ľ		
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Examiner	Date		
Signature	Considered		

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Unique citation designation number. 'See attached Kinds of U.S. Patent Documents. 'Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/21 (08-00)

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(Call)	<del></del>	Application No.	09/412,993		
TRANSMITTAL FORM		Filing Date	October 5, 1999		
(to be used for all correspondence after	er initial filing)	First Named Inventor	Layne Britton		
		Group Art Unit	2712 RECEIVED		
		Examiner Name	Not yet assigned		
Total Number of Pages in This Submiss	ion 9	Attorney Docket Number	3099P017 SEP 2 0 2002		
ENCLO	SURES (chec	k all that apply)	Technology Center 200		
Fee Transmittal Form  Assignment (for an Appl)		it Papers lication)	After Allowance Communication to Group		
Fee Attached	Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Licensing-r	related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/declaration(s)	Petition		Proprietary Information		
Extension of Time Request	Petition to 0 Provisional	Convert a Application	Status Letter		
Express Abandonment Request	Power of A Change of	ttorney, Revocation Correspondence Address	Other Enclosure(s) (please identify below):		
Information Disclosure Statement	Terminal D	isclaimer	-Return receipt postcard -Copies of 2 cited references		
Certified Copy of Priority Document(s)	Request fo	r Refund			
Response to Missing Parts/ Incomplete Application	CD, Numbe	er of CD(s)			
Response to Missina	Remarks		RECEIVED		
Response to Missing Parts under 37 CFR 1.52 or 1.53		_	SEP 2 4 2002		
			OFFICE OF PETITIONS		

	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT
Firm or Individual name	Kerry D. Tweet, Reg. No. 45,959
	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	dand
Date	September 12, 2002

## **CERTIFICATE OF MAILING/TRANSMISSION**

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September 12, 2002

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Typed or printed name	Angie C. Farr			
Signature	Canai	( Jun	Date	September 12, 2002

PTO/SB/17 (11-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL			Complete if Known	RECEIVE
=		Application Number	09/412,993	
for FY 20	02	Filing Date	October 5, 1999	SEP 2 4 2002
Patent fees are subject to annua	revision.	First Named Inventor	Layne Britton	
Applicant claims small entity status. See 37 CFR 1.27.		Examiner Name	Not yet assigned	OFFICE OF PETITION
	(0)	Group/Art Unit	2712	
TOTAL AMOUNT OF PAYMENT	(\$)	Attorney Docket No.	3099P017	

		Morney	30010	110.	1 30991017	
METHOD OF PAYMENT (check one)				FE	E CALCULATION (continued)	
	3. Al	DDITIO	NAL	FEES		
☐ Check ☐ Credit card ☐ Money ☐ Other ☑ None ☐ Deposit Account	Large Entity   Small En			ll Entity	,	
cepasi Accosii	Fee	Fee	Fee	Fee	-	
Deposit	Code	(5)	Code	(5)	Fee Description	Fee Paid
Account Number 02-2666	105	130	205	65	Surcharge - late filing fee or oath	
Deposit	127	50	227	25	Surcharge - late provisional filing fee or	
Account Blakely Sokoloff Taylor & Zafman LLP	1				cover sheet.	
Name District, Taylor & Bullium BB1	139	130	139	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	147	2,520	147	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	112	920 *	112	920 •	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	113	1,840 *	113	1,840 *	Requesting publication of SIR after RECE	I <del>VFN</del> II
Charge fee(s) indicated below, except for the filling fee					Examiner action	'
to the above-identified deposit account	115	110	215	55	Extension for reply within first month  Extension for reply within second month  SEP 2	1 2002
FEE CALCULATION	116	400	216	200	Extension for reply within second month 3EP &	<u>                                     </u>
1. BASIC FILING FEE	117	920	217	460	Extension for reply within third month	15tor 364
Large Entity Small Entity	118	1,440	218	720	Extension for reply within third month  Extension for reply within fourth month  Extension for reply within fourth month	CHIEST CAN
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128	1,960	228	980	Extension for reply within fifth month	
	119	320	219	160	Notice of Appeal	
101 740 201 370 Utility filing fee	120	320	220	160	Filing a brief in support of an appeal	
106 330 206 165 Design filing fee	121	280	221	140	Request for oral hearing	
	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740 208 370 Reissue filing fee  114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
114 160 214 80 Provisional filing fee	141	1,280	241	640	Petition to revive - unintentional	<u> </u>
SUBTOTAL (1) (\$)	142	1,280	242	640		
0 EVTDA 01 A184 EEE0	143	460	242	230	Utility issue fee (or reissue)  Design issue fee	
2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid	1				·	
Total Claims Caims below ree ratu	144	620	244	310	Plant issue fee	
Independent X	122	130	122	130	Petitions to the Commissioner	
Claims	123	50	123		Processing fee under 37 CFR 1.17(q)	
Multiple Dependent =	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity  Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Code (\$) Code (\$)	146	740	246	370	Filing a submission after final rejection	
103 18 203 9 Claims in excess of 20					(37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280 204 140 Multiple Dependent claim, if not paid 109 84 209 42 **Reissue independent claims over original	179	740	279	370	Request for Continued Examination (RCE)	
patent	169	900	169	900	Request for expedited examination	
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fee	e (specify)			of a design application	
SUBTOTAL (2) (\$)	*Reduced	by Basic Fin	g Fee Pai	d	SUBTOTAL (3) (\$)	
**or number previously paid, if greater, For Reissues, see below	<u> </u>				(4)	
SUBMITTED BY					Complete (if applica	$\overline{}$

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Kerry D. Tweet	Registration No. (Attorney/Agent) 45,959	Telephone	(503) 684-6200				
Signature	Amolo	_	Date	09/12/02				

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